

Impact of CFR Penalty Amendments in Section 635-4.4

	Previous Regulation Impact on Filers	Revised Regulation Impact on Calendar 2014 Filers	Revised Regulation Impact on Post Calendar 2014 Filers
Original CFR Due Date	120 days after end of CFR reporting period	120 days after end of CFR reporting period	120 days after end of CFR reporting period
Extension Request Due Date	120 days after end of CFR reporting period	120 days after end of CFR reporting period	120 days after end of CFR reporting period
Revised CFR Due Date with an Extension Request	150 days after end of CFR reporting period	150 days after end of CFR reporting period	150 days after end of CFR reporting period
Waiver of Penalty Request Due Date	15 days after notification from OPWDD (Between 150 and 180 days after end of CFR period.)	15 days after notification from OPWDD (150 days after end of period.)	<p>Prior to the original due date (120 days after end of reporting period) if extension was requested and the circumstances preventing filing occurred prior to the original due date.*</p> <p>Prior to the revised due date (150 days after end of reporting period) if extension was requested and the circumstances preventing filing occurred within the extension period (after original due date and prior to revised due date).*</p> <p>*No Notification from OPWDD</p>
Date of Imposition of Penalty if Waiver was Not Requested or Waiver Request was Denied and Complete CFR Submission Not Received by OPWDD.	First Day of the month following the notification from OPWDD (Generally 180 days after end of CFR period.)	First Day of the month following the notification from OPWDD (150 days after the end of the period.)	First Day of the month following the original or revised due date. (120 days or 150 days, if Extension Request Submitted.)
Date of Imposition of Penalty if Waiver Request was Approved and Complete CFR Submission was Not Received by OPWDD by the Revised Due Date in the Waiver Approval	First Day of the month following the revised due date from OPWDD	First Day of the month following the revised due date from OPWDD	First Day of the month following the revised due date from OPWDD
Programs Impacted	ICF/DD, MSC, Day Treatment, Clinic Treatment, IRA & Community Residence (supervised and supportive), Day Habilitation, Community Habilitation, Prevocational, SEMP, Respite, Plan of Care Support Services & FET	ICF/DD, MSC, Day Treatment, Clinic Treatment and all HCBS Waiver Services	ICF/DD, MSC, Day Treatment, Clinic Treatment and all HCBS Waiver Services