



NYS Office For People With Developmental Disabilities

Putting People First



Update on the People First Waiver and OPWDD's System Transformation

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Agenda

- Tying the Pieces of Transformation Together
- Review and Status Report on People First Waiver
- Review and Status Report on Transformation Deliverables
- Next Steps



OPWDD Vision

It Starts with the People We Serve.

People with developmental disabilities will:

- enjoy meaningful relationships with friends, family, and others
- experience personal health and growth
- live in the home of their choice
- fully participate in their communities



Tools for Achieving the Transformation

A. People First Waiver

B. Transformation Agreement with CMS

(Employment, Self-Direction, Rate Reform, Olmstead, De-institutionalization and Expanding Housing Options)

C. Rebalancing Initiatives

(Balancing Incentives Program and Money Follows the Person)

D. Front Door

E. Stakeholder Involvement

F. Measuring Outcomes



A. People First Waiver – Goals & Objectives

1. *Creating a Person-Centered, Demand-Oriented System*

- Need-focused service planning**
- Equity across NYS**
- Self-Direction available to all**

2. *Enhancing Care Coordination and Person-Centered Planning*

- Cross system coordination of comprehensive services**
- Ready access to one person for all care coordination needs**

3. *Enhancing Community-based Services*

- Supports for serving people in the most integrated settings**

4. *Modernizing the Financial Platform*

- Funding individual's service needs, not service allocations**
- Standardized funding that aligns with costs**



People First Waiver Status

- April 1, 2013 - Submitted 1915 c amendment and 1915 b application
- The NYS legislature passed legislation that authorizes the delivery of specialized developmental disabilities services through a managed care structure.
- Weekly discussions with CMS continue related to deliverables per the Transformation Agreement, the 1915 c waiver amendment and reforming OPWDD's rate methodology.
- Following the finalization of the rate methodology, negotiations will continue to determine the final provisions within the 1915 c and 1915 b waivers.



People First Waiver Status

- The outcome of OPWDD's negotiations around rate reform will determine the actual timeline for initiating managed care with voluntary enrollment into DISCOs and the developmental disabilities fully integrated duals advantage (FIDA) program.
- In the meantime, OPWDD leadership has held intensive joint application design (JAD) sessions to explore critical decisions related to implementing managed care.
- JAD sessions focused on enrollment, communications, data collection and usage, quality review, credentialing of providers, and information technology needs.



Rate Rationalization Discussions

- A new rate setting methodology has been submitted to CMS for approval.
- The proposed methodology recognizes a direct care staff hour as the core of new, standardized rates.
- The first services to use the new methodology will be voluntary operated Intermediate Care Facility (ICF/DD) services, Residential Habilitation (Supervised and Supportive IRAs) and Group Day Habilitation.
- The new rates will be phased in; the timeline is not yet determined.



Ongoing Preparation

OPWDD has been:

- Developing plans for pre-enrollment and enrollment communications – mailings, mailing schedules, scripts for phone calls, brochures, etc.
- Hiring a Medical Director
- Examining the possibility of providing support for DISCO start-up costs via a grant program using BIP funds
- Developing an MOU to support the specialized Developmental Disabilities FIDA
- Developing care coordination guidance
- Continuing to test the CAS via case study agencies
- Defining quality improvement review processes



B. Transformation Agreement with CMS

OPWDD's commitment to CMS for achieving ambitious goals for system reform and personal outcomes

- **Self-Direction**
- **Employment**
- **De-institutionalization**
- **Expanded Housing Options**

—Improving outcomes for people one life at a time—



Expanding SELF-DIRECTION

- OPWDD continues to provide education to at least 1,500 beneficiaries (with designated representatives as needed) per quarter beginning on April 1, 2013.
- Growing # of individuals self-directing to reach 1,245 by March 31, 2014.
- Drafting OPWDD self-direction policies for initial review (due to CMS January 2014)
- There are over 390 new people who have chosen self direction since April 2013.



Expanding opportunities for EMPLOYMENT

- Increase number of individuals employed by 700 by March 31, 2014
- From April 1 through July 31, 222 new people were engaged in supported employment.
- Ended admissions to sheltered workshops on July 1, 2013
- A draft transformation plan for increasing participation in competitive employment was submitted October 1 to CMS:
 - Encourage businesses to hire people with developmental disabilities
 - Increase opportunities for high school students to transition to employment
 - Improve the quality of supported employment services



Transitioning People from Workshops to Employment & Other Community Activities

- Proposing a multi-year strategy to identify and support workshop participants who are interested in competitive employment.
- Converting workshops to an integrated business model
- Supporting other options when competitive employment is not appropriate:
 - community habilitation
 - day habilitation
 - CSS to support volunteer, recreation, senior center, or other community activities for people who are retirement age
 - For individuals who want to continue to work obtaining employment in a former workshop that has converted to an affirmative business or social enterprise will be an option.



DE-INSTITUTIONALIZATION

- Over the past several decades, 30,000 people moved out of institutional settings and into community-based living arrangements.
- Since March 2011, we helped more than 300 people transition from institutional settings to homes in the community—reducing the institutional population by nearly 24%.
- Today, fewer than 1,000 people live in campus-based institutional settings operated by OPWDD.
- Plans are in place to transition 148 residents from Finger Lakes and Taconic ICFs by January 1, 2014.



Closing Developmental Centers

In July 2013, Governor Andrew Cuomo announced the schedule for closing four institutional-based campuses:

- O.D. Heck in Schenectady (March 31, 2015)
- Brooklyn (December 31, 2015)
- Broome in Binghamton (March 31, 2016)
- Bernard M. Fineson in Queens (March 31, 2017)



Expanding COMMUNITY RESIDENTIAL OPTIONS

- NYS Supportive Housing Development - \$1.8 million to assist 180 people by providing supports such as rent subsidies and community habilitation, consolidated supports and services, and residential habilitation provided through individual residential alternatives (IRAs).
- HUD-Approved Federal Housing Counseling Program offering a variety of counseling sessions, educational workshops, and projects.
- OPWDD is expanding its cadre of trained housing coordinators and housing specialists across the state.



OPWDD's Home of Your Own helps individuals, income-eligible parents/guardians, direct support professionals, and other qualified members of OPWDD's workforce become homeowners. Over 700 people assisted to date.

New York State Home and Community Renewal (NYSHCR) partnership encourages housing projects to offer a preference in tenant selection for people with developmental disabilities (up to 20 percent of a project's total units). Provider applicants must have firm commitments from OPWDD for funding supports and services. Six providers applied for early award funding.



C. Rebalancing the System

Balancing Incentives Program (BIP)

BIP provides grants & enhanced FMAP to states to increase access to non-institutional, long-term supports/services.

- Supports structural changes to the service system that increase institutional diversions and access to long-term supports/services:
 - ❑ a “No Wrong Door/Single Entry Point” system (our Front Door will align.)
 - ❑ Conflict-free case management (will be built into DISCO contracts)
 - ❑ Core standardized assessment (OPWDD’s Coordinated Assessment System will align.)
 - ❑ States must use the enhanced FMAP only to provide new or expanded HCBS.
 - ❑ Quarterly reporting



C. Rebalancing the System

Money Follows the Person Demonstration

MFP provides states enhanced funding to support moving people out of institutions and into the community.

- MFP will be part of OPWDD's larger deinstitutionalization.
- OPWDD will transition 875 individuals from DCs, community-based ICF/IIDs and Skilled Nursing Facilities into MFP qualifying community settings. (**Goal of 65 in 2013.**)
- To achieve the goals, some ICFs will convert to 4-person IRAs. Individuals will move to IRAs, family homes, Family Care homes or private apartments.
- This fall, SANYS will conduct peer-based outreach in DCs, ICFs & SNFs. (**2013 goal=300 ICF residents**)



D. The Front Door

The Front Door is a new, person-centered approach to intake that prioritizes individual choices, needs, and desires in making decisions.

The Front Door strives to:

- Improve the way people learn about OPWDD and available service options
- Better connect individual needs to available services
- Give people as many opportunities as possible for self-direction



The Front Door includes:

- Eligibility determination
- Assessment
- Identification of service needs
- Service authorization
- Implementation



The Front Door is now open -

- Individuals new to the system
- Individuals who want a change in their services (including people leaving institutions) will soon go through the Front Door.



E. Stakeholder Involvement

- Transformation Work Teams:
 - Managed Care Implementation
 - Provider Efficiency and Innovation
 - System Transformation
- Employment Committee and Community Dialogues
- Workshop Conversion Workgroup
- MFP Stakeholder Advisory Committee



F. Measuring Outcomes

OPWDD is shifting from focusing solely on procedural and regulatory compliance to developing new ways of measuring outcomes that are more meaningful to individuals and that indicate how well OPWDD is supporting their health and safety, their achievement of goals, and their quality of life.

- CAS and Front Door assessments
- Personal Outcome Measures for person-centered quality review
- National Core Indicators – for system review
- Evaluating and measuring DISCOs' performance
- Transparency through Agency & DISCO report cards
- Transformation Evaluation and Accountability Plans



Next Steps

- Continued Stakeholder Engagement
- Continue negotiations on rate reform and the People First Waiver
- Continue preparing to implement managed care – identifying the requirements for DISCOs, needed administrative oversight functions within OPWDD
- Continue to advance transformation initiatives and meet CMS Transformation Agreement deadlines
- Refine and expand use of the new Front Door