



Update: The Transformation Agreement

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Agenda

- Update on the People First Waiver
- OPWDD/CMS Transformation Agreement
 - *what it is*
 - *Federal resource opportunities – Money Follows the Person Demonstration, Balancing Incentives Program*
 - *Specific goals in the agreement*
- Next Steps



Waiver Process Update

Action Item	Timing	Status
Waiver Applications Submission (1915 c amendment & 1915 b application)	April 1, 2013	✓
Solicit Letters of Intent	Mid-April 2013	
Post final Request for Applications for DISCOs	Mid-April 2013	
Hold Statewide VC on Medicaid Data	Late April 2013	
Anticipated Approval of Waiver Applications by CMS	October 1, 2013	
<ul style="list-style-type: none">▪ DISCOs begin enrolling volunteer members▪ DOH Duals Demonstration begins enrolling members into the first DD FIDAs (fully integrated care for Medicare & Medicaid-eligible individuals)	January 2014	



OPWDD Transformation

As OPWDD pursued development of the People First Waiver, we worked with CMS to define priority elements of system transformation:

- Expanding opportunities and supports for ***EMPLOYMENT***
- Expanding ***COMMUNITY SERVICE OPTIONS*** – supportive housing, community-based services
- Expanding ***SELF DIRECTION*** options
- ***OLMSTEAD PLAN-*** Creating opportunities for people to move from institutions to integrated settings



Transformation Documents

- ***“Putting People First: OPWDD’s Road to Reform”*** – comprehensive review of system reforms recently achieved and underway
- ***“The OPWDD Transformation Agreement” Appendix H of the DRAFT NYS Partnership Plan Amendment (DOH 1115 Waiver)-***
Articulates clear commitments for achieving ambitious goals for system reform and service delivery



Transformation Agreement

The Agreement contains commitments for OPWDD related specifically to:

- **Money Follows the Person (MFP) Demonstration**
- **Balancing Incentives Program (BIP)**
- **1915 b/c Applications**
- **Residential Transitions and Supportive Housing**
- **Supported Employment Services and Competitive Employment**
- **Self-Direction**



Money Follows the Person

Purpose: To help states rebalance their long-term care systems by offering people opportunities to move out of institutions into the community

Program Goals:

1. Increase use of HCBS, reduce institutional services
2. Eliminate barriers that restrict the use of Medicaid funds to provide long-term supports in settings of choice
3. Strengthen ability to provide HCBS to people who want to leave institutions
4. Put procedures in place to provide quality assurance and improvement of HCBS



Money Follows the Person

OPWDD Participation

- Effective April 1, 2013 and runs through 2016.
- Will transition individuals from DCs and community-based ICF/IIDs and Skilled Nursing Facilities into community settings
- Acceptable community settings = individual's private home, his or her family's home or a community residence that is home to four or fewer unrelated individuals.
- OPWDD will transition roughly 875 individuals into community settings.



Money Follows the Person

Two Types of MFP Funding

- Funding for System Redesign (broad infrastructure investments):
 - Roll-out of START Model for crisis prevention mental health services for individuals with developmental disabilities
 - Peer Mentoring
 - Person-Centered Planning Training & Development Contract
- Full Funding for Certain MFP Administrative Costs



Balancing Incentives Program (BIP)

Purpose: to provide grants & enhanced FMAP to states to increase access to non-institutional long-term supports/services

Program Goals:

- To help states develop new ways to support more people in community settings
- To support structural changes that increase institutional diversions and access to long-term supports/services

With MFP, BIP is part of CMS's strategy to redesign long-term supports/services.



Balancing Incentives Program

Requirements:

- States must have spent less than 50% of their total Medicaid medical assistance expenditures on non-institutionally based long-term supports/services.
- States must implement structural changes:
 - a “No Wrong Door/Single Entry Point” system.
 - Conflict-free case management
 - Core standardized assessment
- States must use the enhanced FMAP only to provide new or expanded HCBS.
- Quarterly reporting



Balancing Incentives Program

Allowable Ways to expand HCBS

- Increase waiver slots
- Fund new services
- Increase rates to attract more providers
- Create No Wrong Door/Single Entry Point structure
- Host meetings with Stakeholders
- Training & Staffing
- Technology for referrals and coordination across agencies
- Equipment for assessors

Unallowable Ways to expand HCBS

- Brick and mortar construction of NWD/SEP sites
- Nursing home capacity building
- Replacing existing state HCBS commitments (i.e., MOE provision)



Balancing Incentives Program

NYS (including OPWDD) proposes to use the BIP funding to:

- Increase community-based service opportunities for individuals with developmental disabilities.
- Transition and divert individuals who are elderly and/or disabled from institutional to community-based settings.
- Develop additional housing options to support high need/high cost Medicaid recipients in stable, sustainable and safe community environments.
- Expand Money Follows the Person opportunities for individuals to transition out of institutional settings into community-based, non-institutional residential settings.



Transformation Plan Goals

Self Direction

- Provide education to at least 1,500 beneficiaries (with designated representatives as needed) per quarter beginning on April 1, 2013
- Increase # of individuals self directing by 1,245 by March 31, 2014

Employment

- Increase number of individuals employed by 700 by March 31, 2014
- End admissions to sheltered workshops on July 1, 2013



Transformation Agreement Deadlines

Program Commitment	Due Date
<p>MFP - Obtain CMS approval of MFP operational protocol that includes OPWDD participation</p>	<p>April 1, 2013</p>
<p>BIP</p>	
<p>Submit to CMS a Structural Change Work Plan</p>	<p>September 1, 2013</p>
<ul style="list-style-type: none"> ▪ Demonstrate a successful and independent person-centered planning process for assuring individuals' needs are met 	
<ul style="list-style-type: none"> ▪ Description of current housing options and baseline numbers of individuals in each setting 	
<ul style="list-style-type: none"> ▪ Describe process used to determine whether residential settings for people leaving institutions meet HCBS standards/MFP settings standards 	
<ul style="list-style-type: none"> ▪ Reach benchmark of 50% of all Medicaid expenditures supporting non-institutional, Long-Term Services and Supports 	<p>October 1, 2015</p>



Transformation Agreement Deadlines Waiver Applications

Commitment	Due Date
<u>Submit an approvable 1915 b/c waiver application, including:</u>	April 1, 2013
1. Demonstration of capacity	
2. Evidence that community-based settings meet HCBS settings standards	
3. Outline objectives related to employment, person-centered planning, self-direction and quality measurement	
4. Provide assurances related to: <ul style="list-style-type: none">▪ How DISCOs are regulated▪ Conflict-free Case Management▪ DISCOs do not determine eligibility and process enrollment▪ Practice Guidelines for care coordination based on POMs	
5. Report on progress of implementing POMs-based care planning process	September 1, 2013 (quarterly thereafter)
6. Amendment reserving capacity for people leaving institutions	May 1, 2013 (included in 4/1/13 submission)



Transformation Agreement Deadlines

Waiver Applications

Fiscal Commitment	Due Date
Submit Approvable Rate Methodology in 1915 b/c waiver applications, effective for all services by public providers	April 1, 2013
Submit waiver amendment to establish the standard “brick” rate methodology for voluntary providers	July 1, 2013
Voluntary provider brick methodology takes effect for all services	September 2015



Transformation Agreement Deadlines

Residential Transitions and Supportive Housing

Commitment	Due Date
<p>Transition 148 residents from Finger Lakes and Taconic ICFs</p> <ul style="list-style-type: none">▪ 30% to go to MFP qualifying settings▪ Remaining to go to settings that meet HCBS standards	January 1, 2014
Submit timeline and plan for transition of residents of remaining campus and non-campus-based ICFs to community settings	August 1, 2013 (Draft Plan) October 1, 2013 (Final Plan)
Begin quarterly updates on our progress in increasing availability of supportive housing options, including non-traditional housing models	July 1, 2013



Transformation Agreement Deadlines

Supportive Employment Services & Competitive Employment

Commitment	Due Date
Submit a 1915 c waiver amendment that includes restructuring Pathway to Employment services to move people more quickly to SEMP	April 1, 2013
Quarterly Reporting to CMS on # of people in competitive employment through SEMP <ul style="list-style-type: none">▪ Report on Baseline▪ Achieve an increase of 250 people▪ Achieve a total increase of 700 people	May 31, 2013 October 1, 2013 March 31, 2014
End new admissions to sheltered workshops	July 1, 2013
Submit detailed work plan to achieve goals in competitive employment and a timeline for closing sheltered workshops	October 1, 2013 (Draft) January 1, 2014 (Final)



Transformation Agreement Deadlines

Self-Direction

Commitment	Due Date
Increase education to all stakeholders, reaching at least 1,500 people per quarter, thereafter quarterly reporting	April 1, 2013
In 1915 b/c waivers, assure self-direction provides maximum control by individual and performance indicators to ensure individuals exercise choice and control, report results quarterly	April 1, 2013
Share training materials and curricula statewide	May 1, 2013
Report to CMS current # of people self-directing	July 1, 2013
Increase the # of people self-directing by 1,245: 350 new individuals 425 additional individuals 470 additional individuals	September 30, 2013 December 31, 2013 March 31, 2014
Submit OPWDD self-direction policies for CMS approval	January 1, 2014



Defining Community Settings

CMS is redefining Community Settings —

For people leaving institutional settings – even if not participating in MFP – CMS wants to know how NYS will provide “home-like” settings, including:

- Opportunities for employment, community engagement
- Opportunities to control personal resources
- Autonomy in deciding with whom one interacts

In provider-controlled housing:

- Privacy in living quarters
- Sharing of units is by the individual’s choice only
- Access to food at any time
- Ability to set one’s own schedule & have visitors any time



Next Steps

- **Solicit applications for DISCOs** (Post RFA, Draft DISCO Contract, Hold Medicaid Data VC)
- **Finalize waiver applications with CMS**
- **Implement MFP** – outreach and training
- **Establish tracking and reporting procedures** for MFP, BIP and other Transformation Agreement commitments
- **Implementation Planning** for launching DISCOs and FIDAs
- **Continued dialogue** with individuals & providers