



CERTIFIED – RETURN RECEIPT REQUESTED

November 18, 2014

Nathaniel Fields
URBAN RESOURCE INSTITUTE, INC.
75 Broad Street, 5th Floor
New York, NY 10004

Re: Imposition of Fine

Dear Mr. Fields:

This letter constitutes formal notification of the Office for People With Developmental Disabilities' (OPWDD) intent to impose a \$1,000 fine on your agency.

Pursuant to Mental Hygiene Law §16.17(g), the Commissioner may impose a fine upon a finding that the holder of the certificate has failed to comply with the terms of an operating certificate or with the provisions of any applicable statute, rule or regulation. This process was explained in the OPWDD Accountability Initiative Memorandum dated June 1, 2011, a copy is enclosed.

This fine has been imposed on your agency due to your continued non-compliance with 14 NYCRR Part 624.5 (m) and 624.5 (o) with regard to reportable incidents of abuse and neglect. Part 624.5(m) requires that investigations be completed within 30 days of the incident and Part 624.5(o) requires investigative final reports be submitted within 50 days of the Vulnerable Persons Central Registry (VPCR) accepting a report of abuse or neglect. A review of your agency's records indicates that your agency continues to be out of compliance with an outstanding investigative record from calendar year 2013. Although letters were sent on September 11, 2014 and September 19, 2014 requesting this information, an acceptable full investigative record for this incident has not been submitted to OPWDDs Incident Management Unit (IMU) to date.

In addition to the above letters, representatives from your agency were contacted in regards to this particular investigative record via email and telephone on 9/26/14, 9/29/14, 9/30/14, and 10/21/14.

On 10/22/14 your agency submitted an investigative record to IMU. This investigative record was not acceptable as the assigned investigator was also one of the targets of the report to the Justice Center. In addition, the investigative report did not fully address what was reported. Therefore, the investigative record was returned to your agency on 10/22/14. Since that date, staff from IMU have contacted Urban Resources staff, but an acceptable full investigative record has not been submitted.

Your agency was given until September 25, 2014 to complete the investigation and forward the investigative records to OPWDD's IMU. The imposition of the fine is based on your agency's failure to comply with OPWDD's repeated requests to provide this critical information, specifically with regard to the investigative records noted here.

MASTER INCIDENT NUMBER

2013-024139

INCIDENT DATE

7/17/2013

You have the right to an administrative hearing to appeal the imposition of this fine. You also have the right to be represented by an attorney at such hearing. If you want a hearing, you must submit a written request within 30 days from service of notice of this proposed action. Your request must include a declaration of the issues alleged to be involved and documentation of the agency's position as to each identified issue. Send your request to:

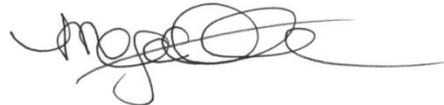
Kerry Delaney Acting Commissioner
NYS Office for People With Developmental Disabilities
3rd Floor
44 Holland Avenue
Albany, NY 12229

Your written request for a hearing must include a copy of this letter. You should also submit a copy of your request to me. If you decide not to request a hearing, please remit payment of your fine immediately. The money should be sent in a lump sum payment. A check is the only acceptable form of payment. The check should be payable to OPWDD and forwarded to the following address:

OPWDD
Attention: Roger Bearden, General Counsel
Counsel's Office - 3rd Floor
44 Holland Avenue
Albany, NY 12229-0001

Finally, please be aware that the imposition of this fine does not preclude OPWDD from taking further adverse action if your agency continues to fail to maintain compliance with OPWDD regulations.

Sincerely,



Megan O'Connor-Hebert
Deputy Commissioner
Division of Quality Improvement

Enc.

cc: Board of Directors
Kerry Delaney, Acting Commissioner
Helene DeSanto, Deputy Commissioner
Roger Bearden, General Counsel
Tamika Black, Deputy Director, DQI
Abiba Kindo, Associate Deputy Commissioner
Donna Limiti, DDRO Region 4 Director
Cheryl Mugno, Deputy Counsel
Brian O'Donnell, Regional Director, DQI
Leslie Fuld, Director, DQI/IMU
Jim Nellegar, Director, Audit Services