

Andrew M. Cuomo, Governor



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NYS Office For People With Developmental Disabilities

# Putting People First

## HCBS Waiver, Managed Care & Connecting to the Future

June 30, 2014

Joint Discussion Board Video Conference



## Agenda

1. Welcome and Overview of Today's Landscape
2. Progress with CMS, Where We Are & DISCO Timeline
3. HCBS 1915C Waiver Key Reforms Supporting Transformation
4. Self Direction Redesign
5. Connections to Statewide Initiatives



1.

# **WELCOME AND OVERVIEW OF TODAY'S LANDSCAPE**



# OPWDD's Transformation Agenda

## Aims to Provide Supports:

- ▶ Fully integrated into the community of choice
- ▶ Consistent with the person's needs and wants
- ▶ Result in valued outcomes that can be measured



## OPWDD Today

- Each year, approximately 8,000 people come to OPWDD seeking services
- We need to create new and innovative ways to deliver and fund supports consistent with state and national trends for Medicaid services



## Our Goals and Commitment

- Our mission: to help individuals with developmental disabilities lead richer lives
- Our commitment: to meet the needs of those we serve, now and into the future
- Our new reality: we must create new service options that use funding in the most efficient way in order to ensure sustainability and serve more people



## OPWDD's Big Picture Initiatives

- Three big initiatives are designed to improve the system and ensure individuals and their families get what they need:
  1. The Transformation Plan Elements
  2. The Front Door
  3. **The HCBS Waiver & Managed care (Today's Focus)**



## Transformation Plan

- The Transformation Agenda is about realizing goals of deinstitutionalization, employment and self-direction
- Our federal partner CMS made available \$250 million in federal funding tied to achieving statewide benchmarks in these areas
- CMS makes this revenue available through the Balancing Incentives Program (BIP) and Money Follows the Person (MFP) which help advance OPWDD's transformational changes for individuals and, in turn, for the OPWDD system



## The Front Door

The purpose of OPWDD's Front Door is to:

- Educate individuals and families about service options to meet needs
- Assist individuals in seamlessly accessing services
- Emphasize integrated supports and how people can gain more control over their services and life plan
- Organize entry into OPWDD services consistent with future direction of managed care



## Front Door Update

- OPWDD staff recently participated in a two-day intensive training discussing front door improvements which include:
  - An informational video to ensure consistent information
  - A new Access to Services Guide explaining the step-by-step the process of applying for OPWDD services
  - Roll-out of a new Front Door manual to ensure consistency of process statewide
  - New and improved processes for identifying and developing residential opportunities and supports



## Why Managed Care?

- Managed care supports our mission and helps OPWDD meet its goals by:
  - Providing better and more seamless access to services
  - Removing “silos” that create barriers to services
  - Emphasizing outcome based supports
  - Incentivizing providers who achieve quality outcomes while using funds efficiently



2.

# **PROGRESS WITH CMS, WHERE WE ARE & DISCO TIMELINE**



## **Where We Are with CMS Discussions**

State Operated Rate Reform Amendment  
Approved First Week of June

OPWDD & CMS Now Finalizing Voluntary  
Operated Rate Rationalization

NYS has Provided a Timeline for Reaching  
Managed Care effective October 1, 2015 –  
Seeking Joint Agreement for Publication



## **This Summer**

OPWDD's Goal is to Publish Managed Care  
Timeline



Draft DISCO model contract submitted to CMS  
and post to website

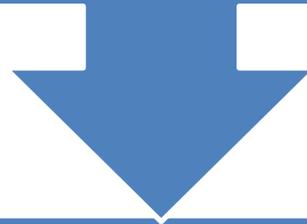


Submit DRAFT COA application/start-up grant for  
DISCO to CMS and post to website



## **Fall 2015 DISCO Activities**

**CMS Approval of 1915 B/C**



**October 1, 2015  
DISCOs begin Coordinating Care for  
Individuals**



3.

# **HCBS 1915C WAIVER KEY REFORMS**



## Elements of Expected Reform

SO Rate Reform

Limits on e-Mods &  
AT

Review of Cost  
Reporting

B&C Reviews &  
State Ops

VO Rate Reform (IRA,  
ICF, DH)

Self Direction Redesign

Remaining Reform of  
FFS Prices

Services Supporting  
Transformation



## Services Supporting Transformation

- Community Transition Services\*
- Pathway to Employment
- Individual Directed Goods & Services\*
- Enhancement to Supported Employment
- Template Funding
- Rate Transformation & Vital Access Pool & Quality Pool

\* *Budget & Payment Managed by FI*



## **Pathway to Employment**

- The new service will be available July 1, 2014.
- Provider trainings began this month and continue through September.
- OPWDD has the capacity to train 800 people during this time period.



## HCBS Waiver Settings Standards Update

- OPWDD has received stakeholder comments on the published HCBS Waiver Transition Plan and will post a response shortly
- Continuing to assess the potential impact of the HCBS Waiver Setting ruling and reconvening the **Regulatory Reform Stakeholder Work Group** to work with OPWDD on the HCBS Settings Transition and Implementation Plan.
- DOH has scheduled an informational webinar to provide background and context to the federal rule and New York State's transition plan on the HCBS Settings rule for **Monday, July 7, 2014.**



## Expanding Community Habilitation

- The 10/1/14 waiver renewal will include more opportunities for community integration
- Community Habilitation will now be an option for individuals residing in certified settings:
  - Individualized Residential Alternative (IRA)
  - Community Residence (CR)
  - Family Care Home (FCH)
- The waiver renewal builds upon recent redesign efforts and expands choice for more individuals.



## Self-Direction Redesign

- OPWDD continues to work with CMS to streamline self-directed service design to help individuals plan and create truly person-centered, self-directed services and supports.
- October 1, 2014, all CSS plans must be converted to a new budget template that aligns with our new proposed rate methodology.
- There are approximately 1600 existing plans to convert and several hundred in the pipeline.



## Self-Direction Redesign

- Training and technical assistance sessions have been scheduled for CSS Liaisons, Support Brokers and Medicaid Service Coordinators (MSCs) beginning this month.
- Stakeholder workgroup meetings for Individuals and Families and for Fiscal Management Services (FMS)/Fiscal Intermediary (FI) agencies have been held; recommendations are forthcoming.



## Self-Direction Redesign

- Discussion with our federal and state partners are moving ahead on the parameters of the new Individual Directed Goods and Services (IDGS) option
- We have received helpful guidance from CMS and believe that this option will allow for continuity of care as the transition occurs.
- Where plans exceed PRA and where Medicaid funds are non-allowable, the state is committed to support services during a transition period using 100% state funds



## Individual Directed Goods & Services (IDGS)

New Service Category available to self directing individuals under negotiation with CMS and State Partners:

- Federal guidelines require that IDGS:
  - Relates to a valued outcome for the individual
  - Increases independence or substitution for human assistance
  - Promotes opportunities for community living and inclusion
  - Can be accommodated within the participant's budget without compromising the participant's health or safety
  - Is used exclusively for the benefit of the participant.



4.

# **OPWDD'S CONNECTIONS TO STATEWIDE INITIATIVES**



# **OPWDD's Connection to Statewide Medicaid Initiatives**

OPWDD is connected to important initiatives with other state agency partners that will help advance our system transformation and are consistent with our goals, including:

- ▶ No Wrong Door
- ▶ NYS DOH Delivery System Reform Incentive Payment (DSRIP) Program



## Why is OPWDD Connecting with Statewide Initiatives?

- ▶ Ensures that emphasis on quality outcomes will consider things that are important to the developmental disabilities population
- ▶ Promotes sustainability of OPWDD's long term care supports
- ▶ Positions OPWDD stakeholders to take full advantage of NYS initiatives to reform its Medicaid services



## Statewide Initiatives – No Wrong Door

- As part of the Balancing Incentives Program (BIP) goals, New York State is in the process of developing a No Wrong Door (NWD) for all New Yorkers who may be in need of long-term supports and services
- No Wrong Door is an initiative to assist New Yorkers to have a seamless process for accessing services regardless of their support needs. The person's needs are considered and they are connected to the agency best able to support them
- Individuals presenting needs consistent with OPWDD services will, in most instances, utilize the Front Door process and proceed to eligibility determination, assessment and planning for person centered supports



## Statewide Planning for No Wrong Door

- An inter-agency work group is being lead by the Department of Health (DOH) and the New York State Office for the Aging (NYSOFA), and includes the Office for People with Developmental Disabilities (OPWDD), the Office for Mental Health (OMH) and the Office of Alcoholism and Substance Abuse Services (OASAS)
- The workgroup is currently in the process of identifying the policies and procedures that will be needed for the implementation of the No Wrong Door. Full phase-in of the No Wrong Door is expected by October 2015.



## **Statewide Initiative - NYS DOH Delivery System Reform Incentive Payment (DSRIP) Program**

- In April 2014, New York finalized terms and conditions with the federal government for a groundbreaking waiver that will allow the state to reinvest \$8 billion in federal savings generated by Medicaid Redesign Team (MRT) reforms.
- The waiver amendment dollars will address critical issues throughout the state and allow for comprehensive reform through a Delivery System Reform Incentive Payment (DSRIP) program.



## Statewide Initiative - NYS DOH Delivery System Reform Incentive Payment (DSRIP) Program

- The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25% reduction in avoidable hospital use over five years.
- Potential emerging Performing Provider Systems (PPS) networks submitted Letters of Intent to DOH
- OPWDD assisted with reviewing these letters with a focus on the ability to provide quality services to the DD population.
- DSRIP Program Year One begins April 1, 2015



## DSRIP Principles

### Person-Centered

- Improving care & experience through a more efficient, person-centered and coordinated system.

### Transparent

- Decision making process takes place in the public eye and that processes are clear and aligned across providers.

### Collaborative

- Collaborative process reflects the needs of the communities and inputs of stakeholders.

### Accountable

- Providers are held to common performance standards, deliverables and timelines.

### Value Driven

- Focus on increasing value to individuals, community, payers and other stakeholders.



## DSRIP Opportunities

- Participation in a DSRIP, opportunity to build relations and build capacity in
  - Information Technology
  - Administrative Efficiencies
  - Network Building
- Precursor to mandatory enrollment in managed care and eventually comprehensive coordinated care for individuals with Developmental Disabilities



## Looking Ahead

### DISCOs and DSRIP



DISCO

**ROLE:**

- Care Coordination
- Implement Person Centered planning for all
- Maintain a Comprehensive/Cross system Network
- Assessment Data Analysis to Assure Needs Met
- Communication
- Ensure Person Centered Outcomes are Identified & Addressed in comprehensive Care Plan



Non-PPS Providers



PPSs

**ROLE:**

- Be Held Accountable for Patient Outcomes and Overall Health Care Cost
- Accept/Distribute Payments
- Share Data
- Provider Performance Data to Plans/State
- Explore Ways to Improve Public Health
- Capable to Accept Bundled and Risk-Based Payments

Regional Agencies

Health Homes

DD Providers

**Role:**

Provide Supports & Services to Individuals  
Participation in Alternative Payment Systems



## What Comes Next?

- Process improvements to the Front Door - Major upgrades to the process have been made, including an informational video for individuals and families and revised guidance documents
- Building upon our excellent success with the transformation agenda - OPWDD will update its transformation plan with CMS to further our progress
- Now that our rate issues have largely been resolved, OPWDD has proposed a timeline to CMS that will allow managed care start-up on a voluntary basis



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**Questions?**